Total No. of Printed Pages-7

## 6 SEM TDC FSR 1 (Sp) N/O

2017

(May)

COMMERCE

(Speciality)

Course: 601

## (Financial Services)

Time: 3 hours

The figures in the margin indicate full marks for the questions

( New Course )

Full Marks: 80
Pass Marks: 24

. (a) Fill in the bla	nks :
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 $1 \times 4 = 4$ 

- (i) \_\_\_\_ has been set up mainly to provide a secondary market in government securities.
- (ii) The National Securities Depository Ltd. was formed in the year \_\_\_\_\_.

(iii)	Ratings	are	expressed	in	
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(iv) In operating lease, the risk of obsolescence is borne by the \_\_\_\_\_.

## (b) Write True or False :

1×4=

- (i) Portfolio management is a kind of fund-based service.
- (ii) In financial lease, the contracts are cancellable either by the lessor or by the lessee.
- (iii) Venture capitalists share the risk in the business.
- (iv) Loan syndication refers to getting term loans for projects from consortium.
- 2. Write short notes on any four of the following:
  - (a) Merchant bank
  - (b) Benefits of depository services
  - (c) Sale and leaseback

(d)	CRISIL		North A		
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- (e) SEBI
- (f) Features of financial services
- 3. (a) Discuss in detail the methodology adopted by credit rating agencies in India.

Or

(b) What is credit rating? Discuss the role of credit rating agencies in the industrial development of a country.

4+10=14

14

4. (a) How does leasing help the lessee? What are its limitations? 7+7=14

Or

- (b) Discuss about various types of lease. 14
- 5. (a) What are custodial services? How do they help the development of financial market in the country? 4+10=14
  - (b) Give an account on the nature and function of depositories. 5+9=14

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(Turn Over)

6. (a) What is portfolio management? Explain the principles of portfolio management.

4+10=1

Or

(b) What are the features of venture capital? Discuss its disadvantages. 8+6=1